

Design & Appraisal Stage Quality Assurance Report

Form Status: In Progress

Overall Rating:	
Decision:	
Portfolio/Project Number:	00135313
Portfolio/Project Title:	"Digi-Chiquihuites" Canasta digital para medios de vida
Portfolio/Project Date:	2021-04-07 / 2022-09-30

Strategic**Quality Rating:**

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?

- 3: The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.
- 2: *The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.*
- 1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

Evidence:

Esta propuesta busca aprovechar la oportunidad de la crisis para acelerar los procesos de transformación y disrupción digital. Por ello, "Digi-Chiquihuites" se enfocará en empoderar a las mujeres emprendedoras, en micro y pequeñas empresas, para reducir la brecha digital, y también mejorar la protección social ofreciendo servicios de salud digitales para los docentes del sector público y sus familiares. Así que, el proyecto se encuentra enmarcado en la propuesta 2.0 del PNUD: Más allá de la recuperación con miras a 2030, en su componente de alteración digital

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2. Is the project aligned with the UNDP Strategic Plan?

- 3: The project responds to at least one of the development settings as specified in the [Strategic Plan](#)¹ and adapts at least one [Signature Solution](#)². The project's RRF includes all the relevant SP output indicators. (all must be true)
- 2: *The project responds to at least one of the development settings as specified in the [Strategic Plan](#)⁴. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)*
- 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

Evidence:

Se alinea con la solución emblemática 1 del Plan Estratégico de PNUD (2018-2021), mantener a las personas al margen de la pobreza, ya que enfatiza las garantías en el acceso a servicios básicos.

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3. Is the project linked to the programme outputs? (i.e., UNDAF Results Group Workplan/CPD, RPD or Strategic Plan IRRF for global projects/strategic interventions not part of a programme)

- Yes
 No

Evidence:

El resultado esperado de este proyecto responde al marco de programación del Sistema de Naciones Unidas en El Salvador: efecto 1 del MANUD: "La población goza de una mejor cobertura y acceso universales y equitativos de bienes y servicios básicos de calidad"; y efecto 2: "La población goza de mayores oportunidades para acceder a un trabajo decente con medios de vida sostenibles, contribuyendo al crecimiento productivo e inclusivo".

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Relevant**Quality Rating:**

4. Do the project target groups leave furthest behind?

- 3: The target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.
- 2: *The target groups are clearly specified, prioritizing groups left furthest behind.*
- 1: The target groups are not clearly specified.

Evidence:

El proyecto tiene una definición clara de los beneficiarios por componente del Proyecto. También se procurará tener una desagregación por grupos de interés.

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5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- 3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.
- 2: *The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.*
- 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

Evidence:

El proyecto estará basado en la experimentación y las lecciones aprendidas (Labs) como estrategia. Adicionalmente se tomarán en cuenta las lecciones aprendidas durante la pandemia de COVID-19, así como se programarán otras actividades para la previsión de cambios en las necesidades de la población beneficiada.

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6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- 3: *An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)*
- 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

Evidence:

En la sección de Alianzas del PRODOC, se indica que ha sido diseñado en el marco de la Agenda Digital Nacional 2020-2030, que incluye un conjunto de acciones que buscan integrar a los actores que participan en el desarrollo del país a través de la innovación y el uso de la tecnología. Particularmente, en el proyecto se irá creando y experimentando con las siguientes instituciones gubernamentales: Secretaría de Innovación de la Presidencia, Ministerio de Turismo (MITUR), Instituto Salvadoreño de Turismo (ISTU), Ministerio de Economía (MINEC), Ministerio de Educación, Ciencia y Tecnología (MINEDUCYT), Comisión Nacional de la Micro y Pequeña Empresa (CONAMYPE), y el Instituto de Bienestar Magisterial (ISBM).

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Principled**Quality Rating:**

7. Does the project apply a human rights-based approach?

- 3: The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)
- 2: *The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)*
- 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered.

Evidence:

El proyecto evidencia el enfoque de derechos y la transversalización del enfoque de equidad.

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No documents available.

8. Does the project use gender analysis in the project design?

- 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- 2: *A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)*
- 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

Evidence:

Esta propuesta busca aprovechar la oportunidad de la crisis para acelerar los procesos de transformación y disrupción digital. Por ello, "Digi-Chiquihuites" se enfocará en empoderar a las mujeres emprendedoras, en micro y pequeñas empresas, para reducir la brecha digital, y también mejorar la protección social ofreciendo servicios de salud digitales para los docentes del sector público y sus familiares. Así que, el proyecto se encuentra enmarcado en la propuesta 2.0 del PNUD: Más allá de la recuperación con miras a 2030, en su componente de alteración digital

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9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- 3: Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)
- 2: *The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)*
- 1: Sustainability and resilience dimensions and impacts were not adequately considered.

Evidence:

La estrategia de sostenibilidad y escalamiento se basa en dos ejes principales:

- **Transferencia de aprendizajes:** El contenido de las “canastas digitales” validadas y su proceso de implementación (incluyendo los insumos recabados para la selección de los elementos de las canastas; los hallazgos relativos a las poblaciones meta, los riesgos a mitigar, etc.) será sistematizado y compartido a la Secretaría de Innovación, como insumo para el avance de la implementación de la Agenda Digital. La sistematización de aprendizaje comprende los siguientes elementos:
- **Apalancamiento de alianzas estratégicas:** Las alianzas estratégicas del proyecto permitirán que los resultados sean escalados por los diferentes socios, contribuyendo a la sostenibilidad. A continuación, se establecen las contribuciones a la sostenibilidad y escalabilidad del proyecto por cada uno de los aliados estratégicos:

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No documents available.			

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences and/or communication materials and information dissemination. [if yes, upload the completed checklist. If SESP is not required, provide the reason for the exemption in the evidence section.]

- Yes**
- No**
- SESP not required because project consists solely of (Select all exemption criteria that apply)
- 1: Preparation and dissemination of reports, documents and communication materials
- 2: Organization of an event, workshop, training
- 3: Strengthening capacities of partners to participate in international negotiations and conferences
- 4: Partnership coordination (including UN coordination) and management of networks
- 5: Global/regional projects with no country level activities (e.g. knowledge management, inter-governmental processes)
- 6: UNDP acting as Administrative Agent

Evidence:

Se reforzará la sostenibilidad social y ambiental a través de la aplicación de los Estándares Sociales y Ambientales del PNUD

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No documents available.

Management & Monitoring**Quality Rating:**

11. Does the project have a strong results framework?

- 3: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)
- 2: *The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)*
- 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

Evidence:

El marco de resultados ha sido diseñado de manera conjunta con las contrapartes involucradas.

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12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
- 2: *The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)*
- 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

Evidence:

La Junta Ejecutiva del Proyecto, estará conformada por el/la Representante Residente del PNUD, la Ministra de Turismo, la Presidenta del ISBM, la Directora del Instituto Salvadoreño de Turismo (ISTU) y el Secretario de Innovación, o sus respectivos delegados.

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13. Have the project risks been identified with clear plans stated to manage and mitigate each risk?

- 3: Project risks related to the achievement of results are fully described in the project risk log, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- 2: Project risks related to the achievement of results are identified in the initial project risk log based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- 1: Some risks may be identified in the initial project risk log, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

Evidence:

El proyecto contempla un amplio análisis de posibles riesgos. Se contempla sus posibles impactos a la vez que las respectivas medidas de mitigación.

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No documents available.			

Efficient**Quality Rating:**

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design? This can include, for example:

- i) Using the theory of change analysis to explore different options of achieving the maximum results with the resources available.
- ii) Using a portfolio management approach to improve cost effectiveness through synergies with other interventions.
- iii) Through joint operations (e.g., monitoring or procurement) with other partners.
- iv) Sharing resources or coordinating delivery with other projects.
- v) Using innovative approaches and technologies to reduce the cost of service delivery or other types of interventions.

- Yes
- No

Evidence:

Se ha considerado dentro de la estrategia del Proyecto sinergias y operaciones conjuntas para el mejor aprovechamiento de los recursos. Por otro lado, muy importante resaltar que el proyecto implementará la metodología de los labs, que cuenta con medidas innovadoras y recursos y herramientas que permitirán potenciar los resultados del mismo.

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15. Is the budget justified and supported with valid estimates?

- 3: *The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.*
- 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

Evidence:

El Proyecto ha sido preparado de manera ajustada y precisa para maximizar y hacer más eficiente su uso.

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No documents available.			

16. Is the Country Office / Regional Hub / Global Project fully recovering the costs involved with project implementation?

- 3: *The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)*
- 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

Evidence:

Se ha realizado un análisis de los costos totales para la implementación de actividades, y el DPC está contemplado en las líneas del presupuesto del proyecto.

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Effective**Quality Rating:**

17. Have targeted groups been engaged in the design of the project?

- 3: Credible evidence that all targeted groups, prioritising discriminated and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)
- 2: *Some evidence that key targeted groups have been consulted in the design of the project.*
- 1: No evidence of engagement with targeted groups during project design.
- Not Applicable

Evidence:

En el diseño del proyecto se ha contado con consult as y reuniones con instituciones que representan a l os beneficiarios.

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No documents available.			

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- Yes
 No

Evidence:

El plan de monitoreo contempla acciones que permit irán detectar puntos de cambio en la ruta del proyec to cuando así sea necesario.

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19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- Yes
 No

Evidence:

El proyecto está con GEN2

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No documents available.			

Sustainability & National Ownership**Quality Rating:**

20. Have national / regional / global partners led, or proactively engaged in, the design of the project?

- 3: National partners (or regional/global partners for regional and global projects) have full ownership of the project and led the process of the development of the project jointly with UNDP.
- 2: *The project has been developed by UNDP in close consultation with national / regional / global partners.*
- 1: The project has been developed by UNDP with limited or no engagement with national partners.

Evidence:

Las instituciones nacionales que conforman la Junta Ejecutiva del Proyecto, están completamente involucradas en el diseño del proyecto.

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21. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.
- 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- 1: *Capacity assessments have not been carried out.*
- Not Applicable

Evidence:

Este es un proyecto DIM. No se ha realizado a la fecha ninguna evaluación de capacidades de la CO.

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No documents available.			

22. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc..) to the extent possible?

- Yes
- No
- Not Applicable

Evidence:

Dentro de la estrategia del proyecto se contemplan las acciones específicas a realizar con cada una de las instituciones y el uso de los sistemas nacionales.

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23. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- Yes
 No

Evidence:

El proyecto está diseñado a partir de la aplicación de la metodología de los Laboratorios de Aceleración que tiene como objetivo acelerar el aprendizaje sobre temas específicos del desarrollo. En este caso, el foco de la intervención es la digitalización como herramienta de inclusión financiera y de acceso a la salud a través de la experimentación rápida de diferentes tipos de “canasta digital”, construidas a partir de la identificación de soluciones (aplicaciones, plataformas digitales, procesos, etc.) ya existentes que cumplan con criterios de usabilidad y escalabilidad

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QA Summary/LPAC Comments